

Post Issued without pan

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity:		GAUTAM EXIM LIMITED	
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the report for Quarter ending		06-07-2017	
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
Particulars		Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		-	-
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		-	-
3 Whether the Listed Entity has any shares against which depository receipts are issued?		-	-
4 Whether the Listed Entity has any shares in locked-in?		Yes*	-
5 Whether any shares held by promoters are pledge or otherwise encumbered?		-	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES																		
Cate gory (i)	Category of shareholder (ii)	No.s of Share holders (iii)	No. of fully paid up equity Share held (iv)	No.s of Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)= (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								Class Equity x	Class Others y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(A)	Promoter & Promoter Group	4	2246625	0	0	2246625	72.9187	2246625	0	2246625	72.9187	2246625	3375	0.1095	NA	NA	2246625	
(B)	Public	166	834375	0	0	834375	27.0813	834375	0	834375	27.0813	0	0.0000	0	0.0000	NA	NA	834375
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0
Total		170	3081000	0	0	3081000	100.0000	3081000	0	3081000	100.0000	2250000	73.0282					3081000



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Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (i)	PAN (ii)	No of Share holders (iii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities as a % of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights (x)	Total as a % of (A+B+C)				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class Equity X	Class Others Y								Total
1	Indian																	
(a)	Individuals/Hindu undivided Family																	
	BALASUBRAMANIAN RAMAN		1	1168650	0	0	1168650	37.9309	1168650	0	1168650	37.9309	1168650	37.9309	1168650	0	0.0000	1168650
	NAGALAXMI RAMAN		1	989775	0	0	989775	32.1251	989775	0	989775	32.1251	989775	32.1251	989775	0	0.0000	989775
	BALASUBRAMANIAN		2	2158425	0	0	2158425	70.0560	2158425	0	2158425	70.0560	2158425	70.0560	2158425	0	0.0000	2158425
(b)	Central Government/State Government(s)																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
(c)	Financial Institutions/Banks																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
(d)	Any Other(DIRECTORS RELATIVES)																	
	GAUTAM RAM		1	44100	0	0	44100	1.4314	44100	0	44100	1.4314	44100	1.4314	44100	0	0.0000	44100
	BALASUBRAMANIAN		1	44100	0	0	44100	1.4314	44100	0	44100	1.4314	44100	1.4314	44100	0	0.0000	44100
	RAJALAKSHMI GAUTAM		2	88200	0	0	88200	2.8627	88200	0	88200	2.8627	88200	2.8627	88200	0	0.0000	88200
	Total		4	2246625	0	0	2246625	72.9187	2246625	0	2246625	72.9187	2246625	72.9187	2246625	0	0.0000	2246625
2	Foreign																	
(a)	Individuals(Non-Resident Individuals/Foreign Individuals)																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
(b)	Government																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
(c)	Institutions																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
(d)	Foreign Portfolio Investor																	
	Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
	Sub-Total (A/2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A/1)+(A/2)		4	2246625	0	0	2246625	72.9187	2246625	0	2246625	72.9187	2246625	72.9187	2246625	0	0.0000	2246625

Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																		
Category & Name of the shareholders (I)	PAN (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class Equity X	Class Others Y	Total			Total as a % of Total Voting Rights (X)	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)
1 Institutions																		
(a) Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f) Financial Institution/Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (BX1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Central / State government(s)																		
(a) Central Government/ State Government(s)/President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (BX2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3 Non-institutions																		
(a.1) Individuals - I, Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		159	528375		0	528375	17.1495	528375	0	528375	17.1495	0	17.1495	3375	0.1095	NA	NA	528375
(a.2) INDIVIDUAL - II, Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	204000		0	204000	6.5212	204000	0	204000	6.5212	0	6.5212	0	0.0000	NA	NA	204000
KETAN V THAKKAR		1	45000		0	45000	1.4606	45000	0	45000	1.4606	0	1.4606	0	0.0000	NA	NA	45000
DEVCHAND PURMAL HUF		1	111000		0	111000	3.6027	111000	0	111000	3.6027	0	3.6027	0	0.0000	NA	NA	111000
(b) NBFCs registered with RBI		0	0		0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Employee Trusts		0	0		0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0		0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other(BODIES CORPORATE)		2	57000		0	57000	1.8500	57000	0	57000	1.8500	0	1.8500	0	0.0000	NA	NA	57000
SHREE GAJANAN PAPER AND BOARDS PVT LTD		1	54000		0	54000	1.7527	54000	0	54000	1.7527	0	1.7527	0	0.0000	NA	NA	54000
(e) Any Other(CLEARING MEMBER)		1	45000		0	45000	1.4606	45000	0	45000	1.4606	0	1.4606	0	0.0000	NA	NA	45000
MASTER PANTOMATH STOCK BROKERS PRIVATE LIMITED		1	45000		0	45000	1.4606	45000	0	45000	1.4606	0	1.4606	0	0.0000	NA	NA	45000
SUB TOTAL (BX3)		166	834375		0	834375	27.0813	834375	0	834375	27.0813	0	27.0813	0	0.0000	NA	NA	834375
Total Public Shareholding (B) = (BX1)+(BX2)+(BX3)		166	834375		0	834375	27.0813	834375	0	834375	27.0813	0	27.0813	3375	0.1095	NA	NA	834375
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0																		
Note: Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The above format needs to be disclosed along with the name of following persons:																		
Institutions/Non Institutions holding more than 1% of total number of shares.																		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (i)	PAN (ii)	No. of Share holders (iii)	No. of fully paid up equity Share held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total Shareholding, as a % assuming full conversion of convertible securities/ as a % of diluted share capital (xi) = (viii) + (x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								No of Voting Rights	Total as a % of (A+B+C)				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
1 Custodian/DR Holder																	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
Total Non Promoter- Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

